12-03-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC05 Rec'd PCT/PTO

28 NOV 2001

09/937452

Applicant: SAXENA et al.  
Serial No.: 09/937,452  
Filed: September 25, 2001  
Notice of Allow. Date: n/a  
Due Date: December 1, 2001  
Title: MICROPROPAGATION AND PRODUCTION OF PHYTOPHARMACEUTICAL PLANTS

Examiner: Unknown  
Group Art Unit: Unknown  
Docket: 270.60USWO  
Batch No.: Unknown

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 037641104 US

Date of Deposit: November 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to; Box Missing Requirements, Commissioner of Patents and Trademarks, P.O. Box 2327, Arlington, VA 22202.

By: 

Chris Stordahl

## BOX MISSING REQUIREMENTS

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202


Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement, Form 1449, 6 References
- ☒ Check in the amount of \$130.00 for missing requirements fee
- ☒ Other: Communication re: Submission of Missing Requirements; Missing Requirements notification
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:   
Name: Gregory A. Sebald  
Reg. No.: 33,280  
GAS/tvm



12/07/2001 HNGUYEN 00000102 09937452

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(PTO TRANSMITTAL - GENERAL)



S/09/937,452

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SAXENA et al.	Docket No.:	270.60USWO
Serial No.:	09/937,452	Filed:	September 25, 2001
Int'l Appln No.:	PCT/CA00/00305	Int'l Filing Date:	March 24, 2000
Title:	MICROPROPAGATION AND PRODUCTION OF PHYTOPHARMACEUTICAL PLANTS		

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 037641104 US

Date of Deposit: November 28, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to; Box Missing Requirements, Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

By: 

Name: Chris Stordahl

COMMUNICATION REGARDING MISSING REQUIREMENTS

BOX MISSING REQUIREMENTS  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Requirements completion fee.

Respectfully submitted,

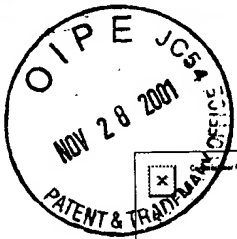
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: November 28, 2001

GAS/tvm

By 

Gregory A. Sebold  
Reg. No. 33,280



<input type="checkbox"/> For Patent, see PCT, United States Patent and Trademark Office, Washington, D.C. 20591, www.uspto.gov		
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U.S. APPLICATION NUMBER NO. 09/937,452	FIRST NAMED APPLICANT Paraveen K Saxena	ATTY. DOCKET NO. 270.60USWO ✓
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INTERNATIONAL APPLICATION NO.
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PCT/CA00/00305

I.A. FILING DATE 03/24/2000	PRIORITY DATE 03/25/1999
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GAS

Gregory A. Sebald  
Merchant & Gould  
P.O. Box 2903  
Minneapolis, MN 55402-0903

Miss Req 1: Dec 1 2001  
Miss Req 3/PTA: Feb 1 2002 DV  
Miss Req Stat: May 1 2002

Date Mailed: 11/01/2001

CONFIRMATION NO. 2097

371 FORMALITIES LETTER



\*OC000000007007820\*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL**

**RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

WINSTON M ALVARADO

Telephone: (703) 305-6421

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,452	PCT/CA00/00305	270.60USWO